



सत्यमेव जयते

RFD
RESULTS-FRAMEWORK DOCUMENT

for

MINISTRY OF COMMERCE & INDUSTRY
DEPARTMENT OF INDUSTRIAL POLICY AND PROMOTION

(2010-11)

SECTION 1: Vision, Mission, Objectives and Functions

Vision

To promote Indian Industry and facilitate balanced regional development of industries.

Mission

To create a conducive environment and accelerate growth of industries to double digit level on sustained basis; improve share of manufacturing in GDP from 15.9 % in 2009-10 to 20% by 2016-17; improve India's global share of FDI from 1.27% in 2007 to 5% in 10 years (as per World Investment Report of UNCTAD); and make India one of most preferred location for foreign investment.

Objectives

1. To accelerate industrial growth by providing financial, infrastructural and other support.
2. To facilitate and encourage domestic and foreign investment in industries and coordinate faster implementation of investment approvals.
3. To facilitate development of industries in North East and other special category States.
4. To improve intellectual property regime consistent with our international commitments and increase output and efficiency in Trade Mark and Patent Offices.
5. To create a sound information base of macroeconomic indicators of production and prices
6. To initiate measures towards procedural changes to make functioning of the Department more transparent and responsive.

Functions

1. Formulation and implementation of industrial policy and administration of Industries (Development & Regulation) Act, 1951.
2. Monitoring and stimulation of industrial growth in general and performance of industries specifically assigned to DIPP.
3. Promotion of industrial development in North East and special category states of J &K, Himachal Pradesh and Uttarakhand through appropriate incentives.
4. Formulation of Foreign Direct Investment Policy and promotion and facilitation of direct foreign and non-resident investments in industrial and service projects.
5. Association as nodal department for investment related issues in Bilateral/Regional Economic Cooperation Agreements.
6. Formulation of policies relating to Intellectual Property Rights in the field of Patents, Trade Marks, Industrial Design and Geographic Indication of Goods and administration of regulations and rules under IPR.
7. Compilation of Wholesale Price Index and monthly industrial production statistics for use in construction of Index of Industrial Production.

SECTION 2:

Inter se Priorities among Key Objectives, Success indicators and Targets

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
1.	To accelerate industrial growth by providing financial, infrastructural and other support	29	(i) Preparation of a new Manufacturing Sector Policy	Preparation of concept paper & draft for inter ministerial discussions	Time	7	31 st Oct, 2010	30 th Nov, 2010	31 st Dec, 2010	31 st Jan, 2011	28 th Feb, 2011
			(ii) Financial assistance for development of Leather Industry under Indian Leather Development Programme (ILDIP)	(a) Setting up of Training Institutes (start of Academic session in 2010-11)	Number	2	4	3	2		
				(b) No. of persons trained and absorbed in the industry	Number	4	5250	4725	4200	3675	3150
			(iii) Facilitating productivity growth in industry through skill development	Skill development through National Productivity Council, number of persons to be trained	Number	2	4650	4500	4300	4100	3900
			(iv) To frame rules/regulations for appointment of third party Inspection Authorities and Competent Persons	(a) To modify Indian Boiler Regulations, 1950 prescribing procedure for selection of third	Time	2	31 st Dec, 2010	31 st Jan, 2011	28 th Feb, 2011	15 th Mar, 2011	31 st Mar, 2011

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
			(IA & CP) for inspection of Boilers	party IA & CP							
				(b) Selection and appointment of third party IA & CP	Time	2	15 th Feb, 2011	28 th Feb, 2011	10 th Mar, 2011	20 th Mar, 2011	31 st Mar, 2011
			(v) To provide quality infrastructure in select industry clusters under Industrial Infrastructure Upgradation Scheme (IIUS)	Issue of Final approvals (after acquisition of land, DPRs & contribution by stakeholders)	Number	5	5	4	3	2	1
			(vi) Examination of the pre-budget memorandum for tax proposals received from the Industry.	Timely completion of discussions and submission of recommendations to the Ministry of Finance	Time	3	15 th Jan, 2011	31 st Jan, 2011	7 th Feb, 2011	10 th Feb, 2011	15 th Feb, 2011
			(vii) Implementation of Delhi Mumbai Industrial Corridor	Initiation of implementation of Reports submitted by Master Planning Consultants- Halcrow-Gujarat;	Time	2	H- 30 th Sep L- 30 th Sep J- 31 st Dec A- 30 th	H- 31 st Oct L- 31 st Oct J- 31 st Jan, 2011	H- 30 th Nov L- 30 th Nov J- 28 th Feb, 2011	H- 31 st Dec L- 31 st Dec J- 15 th Mar, 2011	H- 31 st Jan, 2011 L- 31 st Jan, 2011 J- 31 st

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
				Lea Associates-MP; Jurong-Haryana and AECOM-Maharashtra			Nov	A- 31st Jan, 2011	A- 28th Feb, 2011	A- 15th Mar, 2011	Mar, 2011 A- 31st Mar 2011
2.	To facilitate and encourage domestic and foreign investment in industries and coordinate faster implementation of investment approvals	17	(i) Facilitating access to quality information to investors	Setting up of an e-Biz portal to provide 29 services relating to business	Number of services	3	20	19	18	17	16
			(ii) Consolidation of all FDI policies	Release of compendium	Time	3	30th Sept, 2010 31st Mar, 2011	31st Oct, 2010	30th Nov, 2010	31st Dec, 2010	31st Jan, 2011
			(iii) Operationalizing "Invest India"	No. of potential investors to be contacted	Number	3	100	90	80	70	60
			(iv) Improving FDI proportion in GDP from 1.99% in 2007-08 to 5% in 10 years.	Share of FDI in GDP	%age	3	2.4	2.3	2.2	2.1	2.0
			(v) Preparation of 5 concept papers on FDI issues	Circulation of concept papers	Time	5	30th Sep, 2010	31st Oct, 2010	30th Nov, 2010	31st Dec, 2010	31st Jan, 2011
3.	To facilitate development of industries in North East and other special category States	10	(i) Wider dissemination of information about the schemes	Through a Web page on the web site of the Department	Time (after Budget)	2.5	Within a week	In 10 days	In 15 days	In 3 weeks	Over a month

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
			(ii) Setting up a mechanism for dissemination of company/unit wise disbursement	Through a Web page on the web site of the Department	Time	2.5	31 st Dec, 2010	31 st Jan, 2011	28 th Feb, 2011	15 th Mar, 2011	31 st Mar, 2011
			(iii) Direct Financial assistance for approved schemes	Release of Assistance, performance based	Percentage of allocation	5	100%	95%	90%	85%	80%
4.	To improve intellectual property regime consistent with our international commitments and increasing output and efficiency in Trade Mark and Patent Offices	16	(i) Streamlining IPR records	(a) Digitization of trademark records	Number of Pages in lakh	2	30	27	24	21	18
				(b) Digitization of Patent records	%age	1	100	90	80	70	60
			(ii) Operationalizing E filing and E processing of Patent & Trade Mark applications	Operationalization of the initiative	Time	3	26 Mar, 2011	27 th Mar, 2011	28 th Mar, 2011	29 th Mar, 2011	30 th mar, 2011
			(iii) Efforts to outsource prior art search for patent applications	Implementation of initiative by identifying qualified agencies	%age in the total search	3	10	9	8	7	6
			(iv) Response to IPR related concerns	Percentage of drafts disposed off in time for meeting	%age	2	100%	90%	80%	75%	70%

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
			(v) Preparation of Country Position Paper on issues related to WIPO	Release of Country Position Paper on web site of the Department	Time	3	31 st Dec, 2010	31 st Jan, 2011	28 th Feb, 2011	15 th Mar, 2011	31 st Mar, 2011
			(vi) Preparation of Concept Paper on IPR Issues	Circulation of Paper	Time	2	31 st Dec. 2010	31 st Jan. 2011	28 th Feb. 2011	15 th Mar, 2011	31 st Mar, 2011
5.	To create a sound information base of macroeconomic indicators of production and prices	12	(i) Compilation and dissemination of information of the current series of WPI	Timely release/putting of information on the web site	Number of days	2.5	2	5	7	10	15
			(ii) Shifting to a more recent and better representative base year for prices and production	Finalization of release of new series of WPI	Date	3.5	14 th Jul, 2010	14 th Aug, 2010	14 th Sep, 2010	14 th Oct, 2010	14 th Nov, 2010
			(iii) Collection and compilation of data of assigned products and submission to CSO	Timely submission	Day of the month	2.5	7	8	9	10	11
			(iv) Collection, compilation, submission and validation of manufacturing data for new series of IIP	Timely submission	Time	3.5	15 th Jul, 2010	31 st Jul, 2010	15 th Aug, 2010	31 st Aug, 2010	15 th sep, 2010

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
6	To initiate measures towards procedural changes to make functioning of the DIPP more transparent and responsive.	3	(i) Operationalization of tracking system of application of IL	Display of Status of IL application on the Website	Time	3	30 th Sept. 2010	31 st Oct. 2010	30 th No. 2010	31 st Dec. 2011	31 st Jan. 2011
Mandatory Objectives											
7	Efficient functioning of the RFD System	5	(i) Timely submission of Draft for Approval	On-time submission	Date	2%	Mar.5 2010	Mar. 8 2010	Mar.9 2010	Mar. 10 2010	Mar11 2010
			(ii) Timely Submission of Results	On-time submission	Date	1%	May 2 2011	May 3 2011	May 4 2011	May 5 2011	May 6 2011
			(iii) Finalize a Strategic Plan (After meeting all intermediate deadlines)	Finalize the Strategic Plan for next 5 years	Date	2%	Dec.10 2010	Dec.15 2010	Dec.20 2010	Dec.24 2010	Dec31 2010
8	Improving Internal Efficiency/ responsiveness/ service delivery of Ministry/Department	6	(i) Develop RFDs for all Responsibility Centres (subordinate Offices, Attached Offices, Autonomous Bodies)	Percentage of RCs covered	%age	2%	100%	95%	90%	85%	80%
			(ii) Implementation of Sevottam	(a) Create a Sevottam compliant system to implement, monitor and review Citizen's	Date	1%	Oct.1 2010	Oct.5 2010	Oct.11 2010	Oct.15 2010	Oct.20 2010

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value				
							Excellent	Very Good	Good	Fair	Poor
							100%	90%	80%	70%	60%
				Charter							
				(b) Create a Sevottam compliant system to redress and monitor public Grievances	Date	1%	Oct.1 2010	Oct.5 2010	Oct.11 2010	Oct.15 2010	Oct.20 2010
				(c) Independent Audit of Implementation of Citizen's Charter	%age	1%	100%	95%	90%	85%	80%
				(d) Independent Audit of implementation of public grievance redressal system	%age	1%	100%	95%	90%	85%	80%
9	Timely submission and disposal of ATRs (Action Taken Reports) and ATNs (Action Taken Notes)	2	Timely Submission of ATNs on Audit Paras of C&AG	Percentage of ATNs submitted within due date (4 months) from date of presentation of Report to Parliament by CAG during the year.	%age	.5	100%	90%	80%	70%	60%
			Timely submission of ATRs to the PAC Sect. On PAC Reports.	Percentage of ATRs submitted within due date (6 months) from date of	%age	.5	100%	90%	80%	70%	60%

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/Criteria Value					
							Excellent	Very Good	Good	Fair	Poor	
							100%	90%	80%	70%	60%	
				presentation of Report to Parliament by PAC during the year.								
			Early disposal of pending ATNs on Audit Paras of C&AG Reports presented to Parliament before 31.3.2010.	Percentage of outstanding ATNs disposed off during the year.	%age	.5	100%	90%	80%	70%	60%	
			Early disposal of pending ATRs on PAC Reports presented to Parliament before 31.3.2010.	Percentage of outstanding ATRs disposed off during the year.	%age	.5	100%	90%	80%	70%	60%	

**SECTION 3:
Trend Values of the Success Indicators**

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Actual/Target/Criteria Value				
							2007-08	2008-09	2009-10	2010-11	2011-12
1.	To accelerate industrial growth by providing financial, infrastructural and other support	29	(i) Preparation of a new Manufacturing Sector Policy	Preparation of concept paper & draft for inter ministerial discussions	Time	7	New Activity proposed and is to cover the manufacturing sector focusing on specific support that may be needed				
			(ii) Financial assistance for development of Leather Industry under Indian Leather Development Programme (ILDIP)	(a) Setting up of Training Institutes (start of Academic session in 2010-11)	Number	2	New Activity			4	-
				(b) No. of persons trained and absorbed in the industry	Number	4	New Activity			5270	7000
			(iii) Facilitating productivity growth in industry through skill development	Skill development through National Productivity Council, number of persons to be trained	Number	2	3400	3800	4500	4650	4800
			(iv) To frame rules/regulations for appointment of third party Inspection Authorities and Competent Persons (IA & CP) for inspection of Boilers	(a) To modify Indian Boiler Regulations, 1950 prescribing procedure for selection of third party IA & CP	Time	2	New Activity proposed to be done in 2010-11				

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Actual/Target/Criteria Value				
							2007-08	2008-09	2009-10	2010-11	2011-12
				(b) Selection and appointment of third party IA & CP	Time	2	New Activity proposed to be done in 2010-11				
			(v) To provide quality infrastructure in select industry clusters under Industrial Infrastructure Upgradation Scheme (IIUS)	Issue of Final approvals (after acquisition of land, DPRs & contribution by stakeholders)	Number	5			1	5	4
			(vi) Examination of the pre-budget memorandum for tax proposals received from the Industry.	Timely completion of discussions and submission of recommendations to the Ministry of Finance	Time	3	The proposals are normally sent by end of January for being considered by Ministry of Finance				
			(vii) Implementation of Delhi Mumbai Industrial Corridor	Initiation of implementation of Reports submitted by Master Planning Consultants- Halcrow-Gujarat;; Lea Associates-MP; Jurong-Haryana and AECOM-Maharashtra	Time	2	New Activity				

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Actual/Target/Criteria Value				
							2007-08	2008-09	2009-10	2010-11	2011-12
2.	To facilitate and encourage domestic and foreign investment in industries and coordinate faster implementation of investment approvals	17	(i) Facilitating access to quality information to investors	Setting up of an e-Biz portal to provide 29 services relating to business	Number of services	3	The system was introduced in 2009-10 and more services are proposed to be added in the current year				
			(ii) Consolidation of all FDI policies	Release of compendium	Time	3	The compendium is proposed to be released twice in a year on 31 st October and 31 st March				
			(iii) Operationalizing "Invest India"	No. of potential investors to be contacted	Number	3	New activity to be launched in 2010-11				
			(iv) Improving FDI proportion in GDP from 1.99% in 2007-08 to 5% in 10 years.	Share of FDI in GDP	%age	3	1.99	2.27	2.24 (Apr-Dec, 2009)	2.4	2.5
			(v) Preparation of 5 concept papers on FDI issues	Circulation of concept papers	Time	5					
3.	To facilitate development of industries in North East and other special category States	10	(i) Wider dissemination of information about the schemes	Through a Web page on the web site of the Department	Time (after Budget)	2.5	It is introduction of a new system of dissemination				
			(ii) Setting up a mechanism for dissemination of company/unit wise disbursement	Through a Web page on the web site of the Department	Time	2.5	It is introduction of a new system of dissemination				
			(iii) Direct Financial assistance for approved schemes	Release of Assistance, performance based	Percentage of allocation	5	Rs 674 crore	Rs 128 crore	Rs 536 crore	Rs 540 crore	Rs 550 crore

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Actual/Target/Criteria Value						
							2007-08	2008-09	2009-10	2010-11	2011-12		
4.	To improve intellectual property regime consistent with our international commitments and increasing output and efficiency in Trade Mark and Patent Offices	16	(i) Streamlining IPR records	(a) Digitization of trademark records	Number of Pages in lakh	2	40 lakh pages were digitized until 2009-10. A target of digitization of 30 lakh pages is set for 2010-11 and 2011-12						
					(b) Digitization of Patent records	%age	1	During 2007-08 and 2009-10, 2.39 lakh patent records were digitized and put on web site. Continuous updating of data base with respect to patent records will be continued.					
					(ii) Operationalizing E filing and E processing of Patent & Trade Mark applications	Operationalization of the initiative	Time	3	New activity to be launched in 2010-11				
					(iii) Efforts to outsource prior art search for patent applications	Implementation of initiative by identifying qualified agencies	%age in the total search	3	New activity to be launched in 2010-11				
					(iv) Response to IPR related concerns	Percentage of drafts disposed off in time for meeting	%age	2	An average time of 4 weeks is taken for response. Target of responding in time for meeting is to be maintained.				
					(v) Preparation of Country Position Paper on issues related to WIPO	Release of Country Position Paper on web site of the Department	Time	3	New activity to be launched in 2010-11				
		(vi) Preparation of Concept Paper on IPR Issues	Circulation of Paper	Time	2	New activity to be launched in 2010-11							
5.	To create a sound information base of macroeconomic indicators	12	(i) Compilation and dissemination of	Timely release/putting of information on	Number of days	2.5	WPI is released as per the Advance Release Calendar. These are to be maintained.						

S.No	Objective	Weight	Actions	Success Indicator	Unit	Weight	Actual/Target/Criteria Value				
							2007-08	2008-09	2009-10	2010-11	2011-12
	of production and prices		information of the current series of WPI	the web site							
			(ii) Shifting to a more recent and better representative base year for prices and production	Finalization of release of new series of WPI	Date	3.5	The new base is proposed as 2004-05. This would have more commodities and larger number of respondents. It will be better representative of price trends.				
			(iii) Collection and compilation of data of assigned products and submission to CSO	Timely submission	Day of the month	2.5	IPP is released by CSO as per the advance release calendar. DIPP is the major source for data. The schedule of data submission is to be maintained.				
			(iv) Collection, compilation, submission and validation of manufacturing data for new series of IIP	Timely submission	Time	3.5	The new base for IIP proposed as 200-05 would have a bigger basket for DIPP. Dates for data submission are to be maintained.				
6	To initiate measures towards procedural changes to make functioning of the DIPP more transparent and responsive.	3	(i) Operationalization of tracking system of application of IL	Display of Status of IL application on the Website	Time	3	New activity to be launched in 2010-11. Currently cases listed and approved by recent PIB/IL meetings are reflected.				

SECTION 4:
Description and Definitions of
Success Indicators
and
Proposed Measurement Methodology

The measurement methodology for success indicators is based on the following:

- i) In case of the parameters where the success indicators relate to release of assistance, percentage release of the allocated amount has been considered.
- ii) In case of the indicators which relate to sanctioning of the projects, the number of projects sanctioned/approved/operationalised has been used as the measurement of success.
- iii) In case of the success indicators which relate to creation of institutional arrangements or better information flow or coordination, timelines, more user/response friendly has been proposed for the measurement of success.

SECTION 5:

Specific Performance Requirements from other Departments

Department	Relevant Success Indicator	What do you need?	Why you need it?	How much do you need?	What happens if you do not get it?
State Governments	Coordination for quicker implementation of FDI approvals	Appropriate action on the constraints faced by the investor	For quicker implementation of projects	Not quantifiable	The implementation may get delayed
Ministry of Human Resource Development	Examination of/ response on IPR issues in international forums	Comments of the Department on Copyright issues	To prepare consolidated response	Not quantifiable	Delay in responses and firming up of the stand
Ministry of Environment and Forests	Quicker examination of environment clearance applications	Environment clearance	To expedite setting up of units	Not quantifiable	Delay in setting up of units
Department of Legal Affairs	E-filing and E-processing of Patent/Trade Marks applications	Appropriate action for amendment of Patent and Trade Marks rules.	For implementation of the activities	Not quantifiable	Implementation will get delayed
CSIR	Outsourcing prior art search for patent applications.	Support of CSIR required for prior art search	For implementation of the activity	Not quantifiable	Implementation will get delayed

Industrial performance critically depends on movement of interest rate, exchange rate, etc. While these macro issues are often market determined, their adverse/positive impact are significant. DIPP as the nodal Department for monitoring industrial performance and facilitating a sustained growth examines the implications of movements of these macro parameters and suggests an appropriate response.

Minister
Commerce & Industry

Secretary
Department of Industrial Policy & Promotion